

Presentation of the evaluation process and the timetable of submitting relevant documents

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Presentation content

- Date of acceptance of applications
- Project assessment within the OP R&DI
- Timetable of evaluation and submission of FS + CBA
- Information on major projects to be submitted to the European Commission
- Decision of the European Commission

Date of acceptance of applications

Priority axis 1 – European Centres of Excellence

- **Call number - 1.1 – European Centres of Excellence**
- **Date of opening - 1. 6. 2009**
- **Date of closing - 15. 10. 2009**
- **Acceptance of applications - 4. 8. 2009 – 15. 10. 2009**
- **Planned allocation for this demand call - 17 mld. CZK**

Date of acceptance of applications

Priority axis 2 –Regional R&D Centres

- **Call number - 2.2 – Regional R&D Centres**
- **Date of opening - 15. 6. 2009**
- **Date of closing - 16. 11. 2009**
- **Acceptance of applications - 17. 8. 2009 – 16. 11. 2009**
- **Planned allocation for this demand call - 11 mld. CZK**

Application and Project Assessment

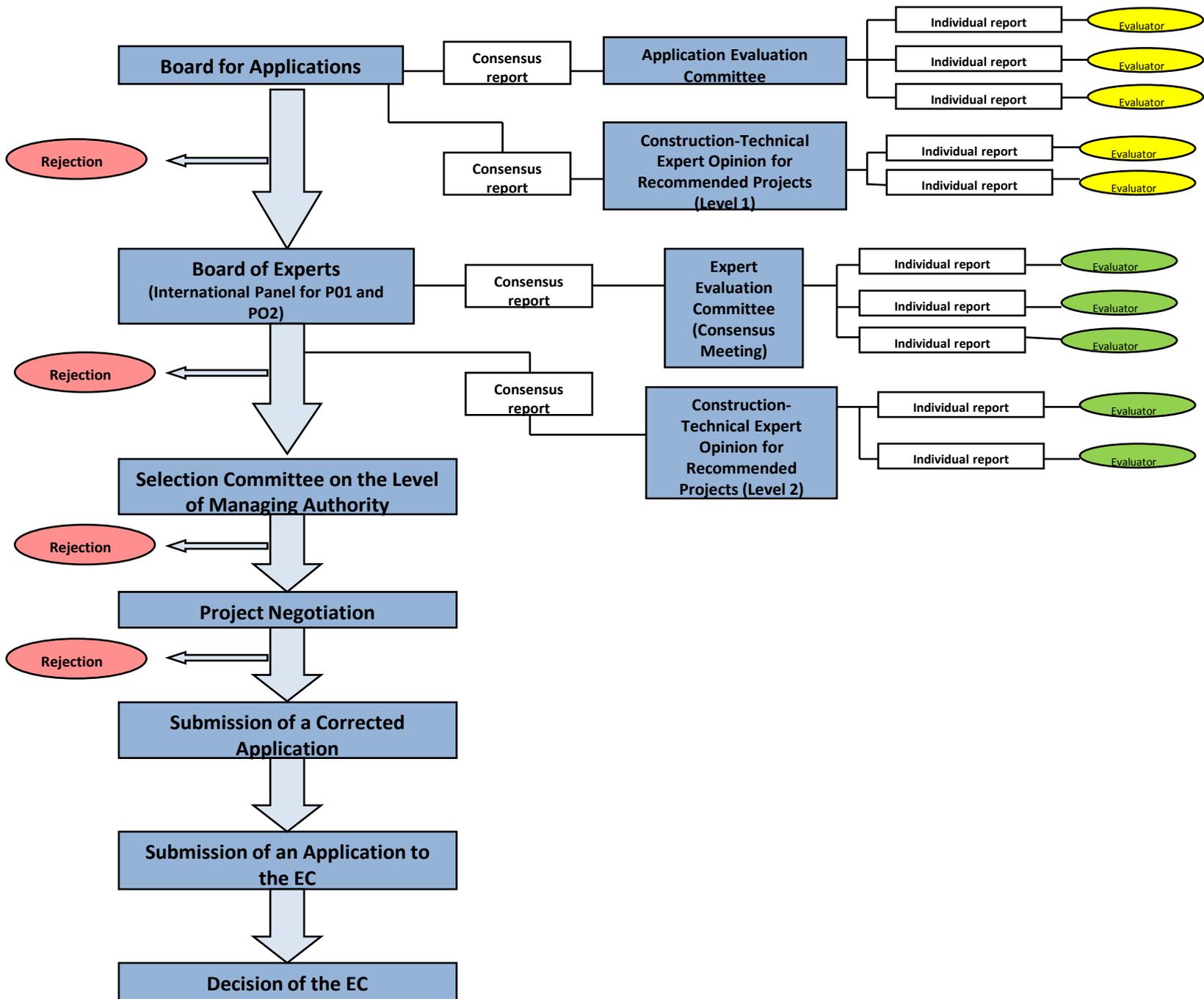
1. Application
2. Assessment of formalities and acceptability of the application
3. Assessment by experts
 - a) Assessment of application's potential, including synergies and financial sustainability – concluded by a meeting of the Board for Applications
 - b) Assessment of the construction and technical aspect of the project (level 1) (*Note: a) and b) may be effected simultaneously*)
 - c) Expert assessment (foreign experts) – concluded by a meeting of an (international) Board of Experts
 - d) Assessment of construction and technical aspect of the project (level 2)
 - e) Assessment and selection on the level of Managing Authority (Selection committee)
4. Specification of performance parameters
5. Submission of the application
6. Submission of the application to the EC
7. Decision of the EC

Formalities and Acceptability Assessment

- Checking formalities (chance to provide missing information and formalities)
- Checking project acceptability (beneficiary, compliance with the Call)

Output:

- Grant application rejected
- Grant application qualified for further assessment
- Grant application sent back to be corrected / or to add information



Assessment – Procedure (1)

- 4 steps – A, B, C, D

Step A

- Assessment of application potential, synergies with OP EI / OP IE and financial sustainability
- Assessment carried out mostly by representatives of application sphere, technology transfer experts, R&D and investment finance experts, representatives of central authorities in the field of R&D financing
- 3 opinions worked out (different quantitative and qualitative assessments), followed by a consensus meeting = creation of a synoptic opinion based on all three evaluations (both qualitative and quantitative)

Assessment – Procedure (2)

- **Step B**

- Assessment of construction and technical parameters of the projects, adequacy and economy of costs (construction and technical expert opinion, level 1) and environmental criteria of project assessment
- Assessed by experts in the field of urban planning, construction works and technology
- Assessment by 2 independent experts
- Eventual suggestion to provide additional information to assess the subject's readiness for construction and technical part of the project as well as its economical character on level no.2

Assessment – Procedure (3)

Board for Applications

- based on results of Step A it creates a list of rejected projects (a right to eliminate projects that do not comply with an exclusion criterion – unsustainable projects and projects with a very low application potential)
- creates a shortlist of recommended projects and their exact evaluation – points/percentage bonus – that will be added to points gained within the C step

Assessment – Procedure (4)

- **Step C**
 - Assessment of professional quality of the objective: quality of research activity, team quality, user specification, human resources development and management, budget and financial sustainability taken into consideration
 - Assessed by foreign R&D experts, assessment of projects and research, development and innovation programmes
 - 3 opinions worked out (different quantitative and qualitative assessments), followed by a consensus meeting = creation of a synoptic opinion based on all three evaluations (both qualitative and quantitative)

Assessment – Procedure (5)

International Board

- Approves results obtained by consensual assessment
- Adds bonuses as assigned by the Board for Applications (or, as the case may be, for construction/technical expert opinions)
- Ranks projects and defines:
 - projects to be eliminated (= project that do not reach threshold values of one or more criteria),
 - projects to specify realization conditions (with respect to resources available within a call)
 - projects to be conditionally called to precise the realization conditions (the so-called reserve list)

Assessment – Procedure (6)

- **Step D**

- Formal selection committee is constituted by the representatives of the Managing Authority
- Assesses conformity with the IPUD (Integrated Plan for Urban Development) and assigns a 10% conformity bonus to all projects called to precise conditions of realization and able to prove their conformity
- Ranks the projects according to their final score (incl. IPUD)
- Decides on the list of projects to be financed and to be financed conditionally (reserve list)

Phase of Specifying Conditions of Project Realization

- **Objective:**
 - To make sure conditions of realization of the project are specified (mainly concretization and quantification of the objectives and specifying performance indicators)
 - To make sure experts' comments are properly taken into consideration and recorded in corrected (specified) application (mainly requirements for conditional financing)
 - To give applicants a chance to clarify unclear aspects of the project (participation of foreign experts/evaluators)
- **Output:**
 - Specified conditions of realization of the project

Timetable of evaluation and submission of FS + CBA

Priority axis 1

- **Date of closing** - 15. 10. 2009
- **November 2009** - Formalities and Acceptability Assessment
- **January 2010** – Application Evaluation Committee; Board for Applications
- **February 2010** - Expert Evaluation Committee; Board of Experts
- **March 2010**– Selection Committee on level of MA; Project Negotiations
- **April 2010** – Project Negotiations; Feasibility Studies + CBAs for major projects finished
- **June 2010** – Submission of Major projects' Applications to the EC
- **September 2010** – Decision of the European Commission

Timetable of evaluation and submission of FS + CBA

Priority axis 2

- **Date of closing** - 16. 11. 2009
- **December 2009** - Formalities and Acceptability Assessment
- **February 2010** – Application Evaluation Committee; Board for Applications
- **March 2010** - Expert Evaluation Committee; Board of Experts
- **April 2010**– Selection Committee on level of MA; Project Negotiations
- **May 2010** – Project Negotiations; Feasibility Studies + CBAs for major projects finished
- **July 2010** – Submission of Major projects' Applications to the EC
- **October 2010** – Decision of the European Commission

Information on major projects to be submitted to the European Commission

COMMISSION REGULATION (EC) No 1828/2006 - ANNEX XXI

- (a) information on the body to be responsible for implementation;
- (b) information on the nature of the investment and a description of it, its financial volume and location;
- (c) the results of the feasibility studies;
- (d) a timetable for implementing the project
- (e) a cost-benefit analysis, including a risk assessment and the foreseeable impact on the sector concerned and on the socio-economic situation of the Member State
- (f) an analysis of the environmental impact;
- (g) a justification for the public contribution;
- (h) the financing plan showing the total planned financial resources and the planned contribution from the Funds, the EIB, the EIF and all other sources of Community financing, including the indicative annual plan of the financial contribution from the ERDF or the Cohesion Fund for the major project.

Decision of the European Commission

1. The Commission shall appraise the major project, if necessary consulting outside experts, including the EIB, in the light of the factors referred to in previous slide, its consistency with the priorities of the operational programme, its contribution to achieving the goals of those priorities and its consistency with other Community policies.
2. The Commission shall adopt a decision as soon as possible but no later than three months after the submission by the Member State or the managing authority of a major project. That decision shall define the physical object, the amount to which the co-financing rate for the priority axis applies, and the annual plan of financial contribution from the ERDF or the Cohesion Fund.
3. Where the Commission refuses to make a financial contribution from the Funds to a major project, it shall notify the Member State of its reasons within the period and the related conditions laid down in paragraph 2.

Thank you for your attention!

